PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| FORM (to be used for all correspondence after initial filing) The proof of Pages in This Submission First Named Inventor Art Unit Examiner Name Unassigned Examiner Name Unassigned Examiner Name Unassigned SUN-P8726 ENCLOSURES (check all that apply) Fee Transmittal Form Drawing(s) Fee Attached Licensing-related Papers Amendment / Reply After Final Petition Petition to Convert to a Provisional Application Affidavits/declaration(s) Fee Atturney Docket Number ENCLOSURES (check all that apply) After Allowance Communication Group Appeal Communication to Board Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Provisional Application Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Terminal Disclaimer Other Enclosure(s) (please identify below): | TDANSMITTAL | | Application Number | 10/687,415 |
|--|---|---------------|---------------------------|---|
| First Named Inventor Eduard K. de Jong | | | Filing Date | October 15, 2003 |
| Art Unit | | | First Named Inventor | Eduard K. de Jong |
| ENCLOSURES (check all that apply) Fee Transmittal Form | | | Art Unit | Unassigned |
| ENCLOSURES (check all that apply) Fee Transmittal Form | | | Examiner Name | Unassigned |
| Fee Transmittal Form | tal Number of Pages in This Submission | | Attorney Docket Number | er SUN-P8726 |
| Fee Halishittal Politic Convert to a Petition Petition Petition Proprietary Information Proprietary Information Change of Correspondence Address Convert to a Provisional Application Proprietary Information Proprietary Information Status Letter Convert to a Provisional Application Proprietary Information Proprietary Information Status Letter Status Letter Convert to a Provisional Application Proprietary Information Status Letter Status Letter Status Letter Status Letter Status Letter Proprietary Information Proprietary Information Status Letter St | | ENCLOS | SURES (check all that app | rly) |
| Appeals and Interferences Appeals and Interferences Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition After Final Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) (please identify below): 1449 citing 11 pieces of art Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Appeals and Interferences Appeals Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Proprietary Information Information Proprietary Information Information Information Disclosure(s) (please identify below): 1449 citing 11 pieces of art Information Disclosure Statement Information Disclosure Stateme | Fee Transmittal Form | Drawing | (s) | After Allowance Communication to Group |
| Amendment / Heply After Final Petition to Convert to a Provisional Application After Final Power of Attorney, Revocation Change of Correspondence Address Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature After Final Provisional Application Request for Refund Provisional Application Provisional Application Provisional Application Provisional Application Provisional Application Provisional Application Provisional App | Fee Attached | Licensin | g-related Papers | Appeal Communication to Board of Appeals and Interferences |
| Affidavits/declaration(s) Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature Proprietary Information Proprietary Information Droprietary Information Chatter Proprietary Information Other Enclosure(s) (please identify below): 1449 citing 11 pieces of art | Amendment / Reply | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| Affidavits/declaration(s) Change of Correspondence Address Control of Time Request Control of Time Request Request for Refund Control of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature John P. Schaub, Reg. No. 42,125 | After Final | Provision | nal Application | Proprietary Information |
| Extension of Time Request Control Express Abandonment Request Request for Refund 1449 citing 11 pieces of and | Affidavits/declaration(s) | | | Status Letter |
| Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature Signature | Extension of Time Request | Termina | l Disclaimer | |
| Contified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature Signature | | | for Refund | 1449 citing 11 pieces of art |
| Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Figure Application Signature | _J Express Abandonment Request ☐ CD, Ni | | nber of CD(s) | |
| Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Signature | Information Disclosure Statement | | | |
| Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature John P. Schaub, Reg. No. 42,125 | | Remark | is / | |
| Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature John P. Schaub, Reg. No. 42,125 | | | , | |
| Firm or John P. Schaub, Reg. No. 42,125 Signature Signature | Parts under 37 CFR | | | |
| or Individual name John P. Schaub, Reg. No. 42,125 Signature | SIGNA | TURE OF A | PPLICANT, ATTORNE | , OR AGENT |
| | or John P. Schaub, Reg | j. No. 42,125 | | |
| Date 4/15/04 | Signature & | h | | |
| VIII. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. | Date 4/15/6 | 24 | | |
| CERTIFICATE OF MAILING | | CER | TIFICATE OF MAILING | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12Z and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Eduard K. de Jong

SERIAL NO.:

10/687,415

FILING DATE:

October 15, 2003

TITLE:

Rights Locker For Digital Content Access Control

EXAMINER:

Unassigned

ART UNIT:

Unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, NA 22313-1450, on the date printed below:

COMMISSIONER FOR PATENTS P.O. Box 1450 **ALEXANDRIA, VA 22313-1450**

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box X is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to (CHECK ONE BOX):

37 C.F.R. § 1.97(b).

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
 - (3) before the mailing date of a first office action on the merits; or
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

37 C.F.R. § 1.97(c).

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), but **before** the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; or
- (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either:

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
 - (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement. MM Fees Due (CHECK ONE BOX): No fee is due. The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180). III Certification (CHECK ONE BOX): No certification is necessary. Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies: That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies: No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R.

§1.56(c) more than three months prior to the filing of this information disclosure statement.

Docket No. SUN-P8726 (811173-000338)

IV

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

validity of the application or any patent issued thereon.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: April <u>15</u>, 2004

John P. Schaub Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 direct dial

(408) 287-8040 direct fax

Atty. Docket No. Serial No. SUN-P8726 10/687.415 U.S. Department of Commerce Patent and Trademark Office Applicant: Eduard K. de Jong Information Disclosure Statement by Applicant Group: Filed: October 15, 2003 Unassigned (Use several sheets if necessary) **U.S. Patent Documents** Class Subclass Filing Date Document No. Date Name Init. 9/4/01 Buhle et al. 6.286.104 Α **Foreign Documents** Translation Document No. Date Country Class Subclass Yes No Init. В GB 2 352 850 2/7/01 GB Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) R. Rivest, "The MD4 Message Digest Algorithm", Request for Comments (RFC) 1320, MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992, pp.1-20 R. Rivest, "The MD5 Message-Digest Algorithm", Request for Comments (RFC) 1321 MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992 "Secure Hash Standard", Federal Information Processing Standard Publication 180-1, April 17, 1995, E "Smart Card Stage I Description", Version 1.1, CDMA Development Group- Smart Card Team Document, May 22,1996 "Digital Cellular Telecommunications Systems (Phase 2+); AT Command Set for GSM Mobile Equipment G (ME)", ETSI TS 100 916 V7.4.0, 1998 "Wireless Identity Module Pert: Security" Version 12, Wireless Application Protocol WAP-260-WIM-Н 20010712-a, July 2001 "3rd Generation Partnership Project; Technical Specification Group Terminals; USIM and IC Card I Requirements (Release 4)", 3GPP TS 21.111 V4.0.0, 2001 "3rd Generation Partnership Project 2: Removable User Identity Module for Spread Spectum Systems" 3GPP2 C.S0023-A, Version 1.0, September 13, 2002, pp. 1-1-5-2, A1-A4 International Search Report, PCT/US 03/24295, International filing date August 1, 2003, date Search Report K mailed February 11, 2004. Date Considered Examiner Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.